

FMSB Statement of Commitment - 2016

The agreed form of the FMSB Statement of Commitment is as follows:

"[Name of Institution] (the "Institution") acknowledges that the Standards published by the FICC Markets Standards Board ("FMSB") represent generally recognised good practice in the wholesale Fixed Income, Commodity and Currency ("FICC") markets. The Institution confirms that it is committed to conduct its FICC market activities (its "Activities") in a manner consistent with the Core Principles contained in FMSB Standards, and to have internal policies, procedures and controls reasonably designed to give effect to those Core Principles where they are applicable to its Activities, in a manner that is commensurate with the nature of its Activities in the relevant entity or jurisdiction. This statement of commitment applies to all Standards published by FMSB in final form prior to 31 December 2016.

63 St. Mary Axe, London EC3A 8AA

Phone +44 (0) 203 861 6440 Web www.fmsb.com

FICC Markets Standards Board Limited is a limited company registered in England and Wales
Registered number: 09732893 Registered office: One Silk Street, London, United Kingdom, EC2Y
8HQ