

## **FMSB STATEMENT OF COMMITMENT 2019**

The Bank of New York Mellon acting through its London branch, The Bank of New York Mellon (International) Limited and The Bank of New York Mellon SA/NV (collectively the 'Institution') acknowledges that the Standards published by the FICC Markets Standards Board (FMSB) represent generally recognised good practice in the wholesale Fixed Income, Currencies and Commodities (FICC) markets. The Institution confirms that it is committed to conduct its FICC market activities (its 'Activities') in a manner consistent with the Core Principles contained in FMSB Standards, and to have internal policies, procedures and controls reasonably designed to give effect to those Core Principles where they are applicable to its Activities, in a manner that is commensurate with the nature of its Activities in the relevant entity or jurisdiction.

This Statement of Commitment applies to the five Standards published by FMSB in final form prior to 31 December 2019. Of these five Standards:

The Institution determines that this Statement of Commitment applies to the following Standards:

Standard	Final release
Secondary Market Trading Error Compensation Standard	28 January 2019
New Issues Process Standard for the Fixed Income Markets	2 May 2017

The Institution determines that the following Standards below are not relevant:

Standard	Final release	Why not relevant to the Institution
Risk Management Transactions for New	3 July 2018	The Institution does not enter into risk
<u>Issuance Standard</u>		management transactions.
Binary Options standard for the Commodities	21 November	The Institution does not trade commodities.
markets	2016	
Reference Price Transactions standard of the	21 November	The Institution does not enter into any
Fixed Income markets	2016	reference price transactions.

The Institution intends to publish this Statement of Commitment on its public website at the following address: <a href="https://www.bnymellon.com/emea/en/regulatory-resources.html">https://www.bnymellon.com/emea/en/regulatory-resources.html</a>.

The Statement of Commitment is signed by the following on behalf of the Institution

Name: Hani Kablawi

**Position: Chairman of International** 

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Signature:

BNY Mellon is a global investments company dedicated to helping its clients manage and service their financial assets throughout the investment lifecycle. Whether providing financial services for institutions, corporations or individual investors, BNY Mellon delivers informed investment and wealth management and investment services in 35 countries. As of Dec. 31, 2020, BNY Mellon had \$41.1 trillion in assets under custody and/or administration, and \$2.2 trillion in assets under management. BNY Mellon can act as a single point of contact for clients looking to create, trade, hold, manage, service, distribute or restructure investments. BNY Mellon is the corporate brand of The Bank of New York Mellon Corporation (NYSE: BK). Additional information is available on <a href="https://www.bnymellon.com/newsroom">www.bnymellon.com/newsroom</a> for the latest company news.